Two Heads Are Better Than One: Exploiting Both Sequence and Graph Models in AMR-To-Text Generation

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Abstract

 Abstract meaning representation (AMR) is a special semantic representation language that captures sentences' meaning with syntax- irrelevant graphs. AMR-to-text generation aims to generate text according to a given AMR graph and is helpful in various downstream NLP tasks. Existing AMR-to-text generation methods roughly fall into two categories, each with pros and cons. The sequence-to-sequence models, especially pretrained language models (PLMs), have good text generation ability but cannot cope well with the structural informa-013 tion of AMR graphs. The graph-to-sequence 014 models utilize graph neural networks (GNNs), 015 showcasing complementary strengths and lim- itations. Combining both methods could har- ness their strengths; yet, merging a GNN with a PLM is non-trivial. In this paper, we pro- pose DualGen, a dual encoder-decoder model 020 that integrates a specially designed GNN into a sequence-to-sequence PLM. We conduct ex- tensive experiments, human evaluation, and a case study, finding that DualGen achieves the desired effect and yields state-of-the-art per- formance in the AMR-to-text generation task. We also show it outperforms the most potent general-purpose PLMs, LLaMA and GPT-4.

028 1 Introduction

 Abstract meaning representation (AMR) is a seman- tic representation language representing sentences' meaning as rooted, directed, and labeled graphs, free from syntactic idiosyncrasies [\(Banarescu et al.,](#page-8-0) [2013\)](#page-8-0). In AMR graphs, nodes depict entities, events, and properties, while edges denote node relationships. Figure [1](#page-1-0) exemplifies an AMR graph with two formats.

 AMR-to-text generation aims to generate text with the same meaning as an AMR graph. It is a well-established task that is useful in various down- stream applications, including text summarization [\(Liu et al.,](#page-9-0) [2015;](#page-9-0) [Takase et al.,](#page-10-0) [2016\)](#page-10-0), machine

translation [\(Jones et al.,](#page-8-1) [2012;](#page-8-1) [Song et al.,](#page-10-1) [2019\)](#page-10-1), **042** and information extraction [\(Zhang and Ji,](#page-10-2) [2021\)](#page-10-2). **043** Figure [1](#page-1-0) illustrates AMR-to-text generation. **044**

Previous studies of AMR-to-text generation em- **045** ploy two kinds of architectures. The first one is the **046** sequence-to-sequence (s2s) model, which uses a **047** sequence encoder to process the linearized AMR **048** graphs and a sequence decoder to generate text **049** [\(Konstas et al.,](#page-9-1) [2017;](#page-9-1) [Cao and Clark,](#page-8-2) [2019\)](#page-8-2). Bene- **050** fiting from the strong language ability of pretrained **051** [l](#page-9-3)anguage models (PLMs) [\(Lewis et al.,](#page-9-2) [2020;](#page-9-2) [Raf-](#page-9-3) **052** [fel et al.,](#page-9-3) [2020\)](#page-9-3), recent s2s AMR-to-text models **053** have achieved leading results [\(Ribeiro et al.,](#page-9-4) [2021a;](#page-9-4) **054** [Bevilacqua et al.,](#page-8-3) [2021;](#page-8-3) [Bai et al.,](#page-8-4) [2022\)](#page-8-4). However, **055** linearized AMR graphs that s2s models take as **056** inputs suffer from information loss, resulting in **057** [r](#page-10-4)educed performance [\(Ribeiro et al.,](#page-10-3) [2021b;](#page-10-3) [Song](#page-10-4) **058** [et al.,](#page-10-4) [2018;](#page-10-4) [Beck et al.,](#page-8-5) [2018\)](#page-8-5). **059**

The second one is the graph-to-sequence (g2s) 060 model [\(Song et al.,](#page-10-4) [2018,](#page-10-4) [2020;](#page-10-5) [Beck et al.,](#page-8-5) [2018;](#page-8-5) **061** [Guo et al.,](#page-8-6) [2019\)](#page-8-6), which consists of a graph neural 062 network (GNN) encoder and a sequence decoder. **063** Different from s2s models, g2s models can cap- **064** ture the complete structural information of AMR **065** graphs with GNN encoders. They usually outper- **066** form un-pretrained s2s models [\(Song et al.,](#page-10-5) [2020\)](#page-10-5), **067** particularly for complex graphs. However, because **068** g2s models cannot be pretrained on corpora, they **069** exhibit weaker overall performance than PLMs. **070**

In this paper, to combine the strengths of both **071** s2s and g2s models, we introduce DualGen, a dual **072** encoder-decoder model, using BART [\(Lewis et al.,](#page-9-2) **073** 2020) as the foundation model.^{[1](#page-0-0)} Based on the s2s 074 architecture of BART, we add a GNN encoder. In **075** this way, DualGen is expected to take complete **076** information of AMR graphs while benefiting from **077** the strong language capabilities of PLMs. **078**

Integrating a GNN encoder into a pretrained **079** Transformer-based PLM is non-trivial. First, all **080**

¹DualGen is applicable to other Transformer-based PLMs.

Figure 1: Illustration of two equivalent formats of an AMR graph and the AMR-to-text generation task. "ARG0", "ARG1", and "degree" are edge labels. In linearized format, nodes are denoted by abbreviations, e.g., "f" denotes "feel-01". The linearized format is indented for better readability.

 existing AMR datasets are inadequate to train a GNN encoder of a similar size as the sequence en- coder from scratch. Second, no pretrained GNNs tailored for language tasks are available; prior stud- ies employing dual-encoders for NLP tasks initiate **GNN** training from the ground up. To address these challenges, we design a specialized GNN encoder that can be initialized with PLM parameters and seamlessly integrated with the PLM.

 Experiment results on datasets AMR2.0 and AMR3.0 demonstrate that DualGen outperforms the state-of-the-art method [\(Bai et al.,](#page-8-4) [2022\)](#page-8-4) and the most potent PLMs, LLaMA and GPT-4 across multiple metrics. We conduct quantitative and qual- itative analyses, demonstrating that DualGen excels in processing graph structures while maintaining text generation quality on par with PLMs. We find that DualGen particularly excels in handling com- plex graphs compared with s2s models, showing that DualGen combines the strengths of both g2s and s2s models. We conduct a human evaluation and a case study that further validate these findings.

¹⁰³ 2 Related Work

 AMR-to-text generation. AMR-to-text genera- tion involves transforming AMR graphs into the corresponding text. One approach for AMR-to- text generation employs a sequence-to-sequence (s2s) model that consists of a sequence encoder and a sequence decoder. The first neural model for this task [\(Konstas et al.,](#page-9-1) [2017\)](#page-9-1) uses stacked bidirectional LSTM, while recent studies adopt the Transformer architecture [\(Vaswani et al.,](#page-10-6) [2017\)](#page-10-6) and employ pretrained language models (PLMs). [Ribeiro et al.](#page-9-4) [\(2021a\)](#page-9-4) proposes adaptive pretrain- ing, while [Bevilacqua et al.](#page-8-3) [\(2021\)](#page-8-3) explores lin- earization methods. [Mager et al.](#page-9-5) [\(2020\)](#page-9-5) introduces an additional rescoring stage and explores joint probability. [Bai et al.](#page-8-4) [\(2022\)](#page-8-4) employs graph pre-training. The sequence encoder can only take linearized AMR graphs as input. However, lineariza- **120** tion causes a loss of graph structure information. **121**

Another approach employs a graph-to-sequence **122** (g2s) model, which consists of a graph neural net- **123** work (GNN) encoder and a sequence decoder. Var- **124** ious GNN encoders have been explored, including **125** [g](#page-10-4)ated GNN [\(Beck et al.,](#page-8-5) [2018\)](#page-8-5), graph LSTM [\(Song](#page-10-4) **126** [et al.,](#page-10-4) [2018\)](#page-10-4), graph convolutional network [\(Guo](#page-8-6) **127** [et al.,](#page-8-6) [2019\)](#page-8-6), and graph attention network [\(Song](#page-10-5) **128** [et al.,](#page-10-5) [2020;](#page-10-5) [Koncel-Kedziorski et al.,](#page-9-6) [2019;](#page-9-6) [Cai and](#page-8-7) **129** [Lam,](#page-8-7) [2020\)](#page-8-7). While the g2s model can effectively **130** handle graph structures, it cannot process text. Con- **131** sequently, it cannot be pretrained by textual data, **132** which limits its language generation ability.

To combine the strengths of s2s and g2s mod- **134** els, [Ribeiro et al.](#page-10-3) [\(2021b\)](#page-10-3) employs a PLM-based **135** approach, incorporating a graph convolutional net- **136** work (GCN) adapter following the sequence en- **137** coder for better graph handling. Unlike DualGen, **138** [w](#page-10-3)hich uses a dual encoder architecture, [Ribeiro](#page-10-3) **139** [et al.](#page-10-3) [\(2021b\)](#page-10-3) employs an un-pretrained GCN and **140** only fine-tunes the GCN while keeping others **141** frozen. Later experimental results show the su- **142** periority of our method over this model. **143**

Dual encoder architecture. Dual encoder archi- **144** tecture is widely used in NLP. In generative models, **145** prior work mainly employs un-pretrained models. **146** For instance, [Junczys-Dowmunt et al.](#page-8-8) [\(2018\)](#page-8-8) uti- **147** lized two un-pretrained encoders and a decoder **148** to recover translation errors. [Zhang et al.](#page-10-7) [\(2021\)](#page-10-7) **149** applied two un-pretrained encoders and two un- **150** pretrained decoders for dialogue summarization. **151** For pretrained models, [Dou et al.](#page-8-9) [\(2021\)](#page-8-9) employs **152** two Transformer encoders and a Transformer de- **153** coder for text summarization. However, to our **154** knowledge, there has been no prior dual encoder- **155** decoder model that simultaneously uses distinct **156** architectures for the two encoders while utilizing **157** pretrained models for both encoders. Also, no prior **158** research has employed the dual encoder architec- **159**

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(1) **219**

Figure 2: The architecture of the DualGen model.

160 ture for AMR-to-text generation.

 For non-generative tasks, dual encoder architec- ture is employed in tasks including similarity mea- [s](#page-10-8)urement [\(Mueller and Thyagarajan,](#page-9-7) [2016;](#page-9-7) [Yang](#page-10-8) [et al.,](#page-10-8) [2018\)](#page-10-8), context-based candidate selection [\(Shyam et al.,](#page-10-9) [2017\)](#page-10-9), and information retrieval [\(Pang et al.,](#page-9-8) [2017\)](#page-9-8).

¹⁶⁷ 3 Method

 In this section, we provide a detailed description of DualGen. We convert the AMR graph into a lin- earized and graphical format (Section [3.1\)](#page-2-0), which is then fed into the dual encoder-decoder model (Section [3.2\)](#page-2-1). Following prior research, we employ a two-stage training (Section [3.3\)](#page-3-0).

174 3.1 Data Processing

 We replace the nodes of AMR graphs with their [o](#page-9-9)riginal labels, omitting the PropBank [\(Palmer](#page-9-9) [et al.,](#page-9-9) [2005\)](#page-9-9) indexes. For example, the node f/feel-01 in Figure [1](#page-1-0) is transformed into feel.

 We use the DFS-based approach as per [Bevilac-](#page-8-3) [qua et al.](#page-8-3) [\(2021\)](#page-8-3) to linearize. For tokenization, we follow the BART method for both encoders, similarly tokenizing the linearized AMR sequence, nodes, and edges. This allows us to calculate se- quence and graph embeddings with shared embed-ding parameters across the two encoders.

186 3.2 Model Architecture

187 DualGen adopts a dual encoder-decoder architec-**188** ture comprising a Transformer-based sequence **189** encoder, a GNN-based graph encoder, and a Transformer-based sequence decoder, as depicted **190** in Figure [2.](#page-2-2) The sequence and graph encoder take **191** linearized and graph AMRs as input, respectively. **192**

Sequence encoder: The sequence encoder is a 193 Transformer encoder, initialized with BART param- **194** eters, as illustrated in the left part of Figure [2.](#page-2-2) It **195** accepts the linearized AMR as its input. **196**

Graph embeddings: The graph embeddings **197** comprise node and edge embeddings, which share **198** parameters with the sequence encoder and the se- **199** quence decoder embeddings. For a token t in the 200 vocabulary, its word embedding is $\mathbf{t} \in \mathbb{R}^{d_{\text{embed}}}$

Given an AMR graph $G = \langle V, E \rangle$, where V is 202 the node set and E is the edge set. Each node and **²⁰³** edge is labeled with one or more words. The words **204** are divided into multiple tokens during tokeniza- **205** tion. These tokens are subsequently used to gener- **206** ate embeddings for nodes and edges. A node $v \in V$ 207 is denoted by l_v tokens $t_1^v, t_2^v, \cdots, t_{l_v}^v$. An edge 208 $e \in \mathbb{E}$ is denoted by m_e tokens $t_1^e, t_2^e, \dots, t_{m_e}^e$

As Figure [3](#page-3-1) shows, for a node $v \in V$, its node **210** embedding is the average embedding of all its cor- **211** responding tokens $\mathbf{v} = \frac{1}{L}$ $\frac{1}{l_{v}}\sum_{k=1}^{l_{v}}\mathbf{t}_{k}^{v}$. 212

To facilitate two-way information exchange **213** along edges, we introduce two linear projections **214** from $\mathbb{R}^{d_{\text{embed}}}$ to $\mathbb{R}^{d_{\text{edge}}}$ for forward and backward 215 edges, defined by matrices W^F, W^B and bias 216 $\mathbf{b}^F, \mathbf{b}^B$. For an edge *e* from node s_e to t_e , its 217 forward and backward edge embeddings are: **218**

$$
\begin{cases}\mathbf{e}^{fwd} = \left(\frac{1}{m_e} \sum_{k=1}^{m_e} \mathbf{t}_k^e\right) W^F + \mathbf{b}^F\\ \mathbf{e}^{bwd} = \left(\frac{1}{m_e} \sum_{k=1}^{m_e} \mathbf{t}_k^e\right) W^B + \mathbf{b}^B\end{cases} \tag{1}
$$

AMR graphs are acyclic, ensuring at most one **220** edge connects any given pair of nodes. Therefore, **221** the edge embedding is well-defined: **222**

Graph encoder: The graph encoder resem- **224** bles the Transformer encoder, as shown in Fig- **225** ure [2.](#page-2-2) However, it incorporates a unique multi- **226** head attention mechanism for graphs, as Fig- **227** ure [4](#page-3-2) depicts. The node embedding is $V^n =$ 228 $K^{n} = Q^{n} = [\mathbf{v}_{1} \quad \mathbf{v}_{2} \quad \cdots \quad \mathbf{v}_{|\mathbb{V}|}]^{\top}$ and the 229 edge embedding for a given node v is $\mathbf{E}_v = 230$ $\begin{bmatrix} \mathbf{e}_{v,1} & \mathbf{e}_{v,2} & \cdots & \mathbf{e}_{v,|\mathbb{V}|} \end{bmatrix}^{\top}$. **231**

We present a graph attention mechanism inspired **232** by the work of [Song et al.](#page-10-5) [\(2020\)](#page-10-5). To leverage **233**

Figure 3: An example of graph embeddings. The nodes are "constrain" and "less". The label of the edge is "degree".

Figure 4: Graph multi-head attention.

 edge information, we incorporate edge embed- dings into the node value and node key compo- nents through two distinct linear projections from $\mathbb{R}^{d_{\text{edge}}}$ to $\mathbb{R}^{d_{\text{node}}}$ defined by matrices W_e^V, W_e^K and 238 bias terms $\mathbf{b}^V, \mathbf{b}^K$, respectively. As discussed by [Cai and Lam](#page-8-7) [\(2020\)](#page-8-7), we treat the graph as fully connected with specialized edge labels, facilitat- ing information exchange. The formulation of this attention mechanism is as follows:

 $\overline{\mathcal{L}}$ $K_i = K^n + \mathbf{E}_v W_e^K + \mathbf{b}^K$ $Q_i = Q_i^n$ 243 $\{K_i = K^n + \mathbf{E}_v W_e^K + \mathbf{b}^K \}$ (3) GraphAttention $(Q, K, V)_i =$ $\text{Multihead-Attention}(Q_i, K_i, V_i)$ ⁽⁴⁾

 $V_i = V^{\rm n} + \mathbf{E}_v W_e^V + \mathbf{b}^V$

 $\sqrt{ }$ \int

 The graph encoder is "pretrained" in a unique way. Its structure is similar to the Transformer en- coder, allowing the central part to be initialized by pretrained BART parameters, except for the two additional linear projections depicted in Fig- ure [4.](#page-3-2) This initialization process can enhance the language capabilities of the graph encoder.

 Hidden representation merging: To merge the hidden representations from the two encoders, we concatenate the two hidden representations and ap-ply layer normalization [\(Ba et al.,](#page-8-10) [2016\)](#page-8-10).

256 Sequence decoder: The sequence decoder in **257** DualGen follows the pretrained BART decoder, as **258** illustrated in Figure [2.](#page-2-2)

Table 1: Statistics of AMR2.0 and AMR3.0.

3.3 Two-Stage Training **259**

Existing AMR datasets have limited size and may **260** be inadequate for training effective graph encoders. **261** We employ a two-stage training strategy to align 262 [w](#page-8-3)ith prior research [\(Bai et al.,](#page-8-4) [2022;](#page-8-4) [Bevilacqua](#page-8-3) 263 [et al.,](#page-8-3) [2021;](#page-8-3) [Ribeiro et al.,](#page-9-4) [2021a\)](#page-9-4). **264**

For the first stage, we employ model-generated **265** silver data for pretraining. We randomly sam- **266** ple 200k entries from the Gigaword dataset **267** (LDC2011T07) [\(Parker et al.,](#page-9-10) [2011\)](#page-9-10). We use the **268** AMR parsing model parse xfm bart base from 269 amrlib [\(Jascob,](#page-8-11) [2020\)](#page-8-11) to generate the correspond- **270** ing AMR graphs and remove those not following **271** AMR rules. For the second stage, we employ exist- **272** ing AMR datasets for fine-tuning. **273**

4 Experiments **²⁷⁴**

We assess the performance of DualGen compared **275** to state-of-the-art models on authoritative datasets. **276** We investigate the influence of graph complexity **277** and evaluate the models' capacity to process graph **278** structure through human evaluation. Additionally, **279** we compared DualGen's performance with the **280** [m](#page-10-10)ost potent PLMs, including LLaMA [\(Touvron](#page-10-10) **281** [et al.,](#page-10-10) [2023\)](#page-10-10) and GPT-4 [\(OpenAI,](#page-9-11) [2023\)](#page-9-11). **282**

4.1 Dataset **283**

[F](#page-10-3)ollowing previous works [\(Bai et al.,](#page-8-4) [2022;](#page-8-4) [Ribeiro](#page-10-3) **284** [et al.,](#page-10-3) [2021b;](#page-10-3) [Bevilacqua et al.,](#page-8-3) [2021\)](#page-8-3) , we **285** evaluate our model using the two most preva- **286** lent and authoritative AMR datasets, AMR2.0 **287** (LDC2017T10)[\(Knight et al.,](#page-9-12) [2017\)](#page-9-12) and AMR3.0 **288** (LDC2020T02) [\(Knight et al.,](#page-9-13) [2016\)](#page-9-13) datasets. Ta- **289** ble [1](#page-3-3) presents dataset statistics for both. **290**

291 4.2 Evaluation Metrics

 [F](#page-8-3)ollowing previous work [\(Bai et al.,](#page-8-4) [2022;](#page-8-4) [Bevilac-](#page-8-3) [qua et al.,](#page-8-3) [2021\)](#page-8-3), we use three automated evalua- tion metrics: BLEU [\(Papineni et al.,](#page-9-14) [2002\)](#page-9-14), Meteor **[\(Banerjee and Lavie,](#page-8-12) [2005\)](#page-8-12), and chrF++ (Popović,** [2015\)](#page-9-15). We also perform a human evaluation to assess language quality and semantic similarity.

298 4.3 Compared Models

 We select the following representative methods for comparison, including the state-of-the-art approach. (1) [Guo et al.](#page-8-6) [\(2019\)](#page-8-6), a g2s model that uses densely connected graph convolutional networks with at- tention mechanisms; (2) [Song et al.](#page-10-5) [\(2020\)](#page-10-5), a g2s model that uses a structure-aware Transformer en- [c](#page-9-4)oder with vectorized edge information; (3) [Ribeiro](#page-9-4) **[et al.](#page-9-4)** [\(2021a\)](#page-9-4), a s[2](#page-4-0)s model based on PLMs 2 ; (4) [Bevilacqua et al.](#page-8-3) [\(2021\)](#page-8-3), a s2s model based on PLMs that uses special linearization method and vocabulary; (5) [Ribeiro et al.](#page-10-3) [\(2021b\)](#page-10-3), a s2s model based on PLMs that includes a graph convolutional network adapter; (6) [Bai et al.](#page-8-4) [\(2022\)](#page-8-4), the state-of- the-art method, a s2s model based on PLMs that uses a unified graph pretraining framework.

314 4.4 Settings

 We use the BART-large model [\(Lewis et al.,](#page-9-2) [2020\)](#page-9-2) as the base model of DualGen. DualGen com- prises 12 sequence encoder layers, 12 graph en- coder layers, and 12 sequence decoder layers. The sequence encoder and decoder need minimal fine- tuning since they share BART's architecture; the graph encoder requires more fine-tuning with a dif- ferent architecture. Consequently, we employ three distinct learning rates for the three components.

 We select hyperparameters by validation set per- formance. For silver-data training, the model un- dergoes 6,000 steps over 20 epochs with updates every 8 steps, with a scale tolerance of 0.5 to filter out low-quality data. For fine-tuning, the model un- dergoes 13,000 steps over 65 epochs, with updates every 4 steps. In both phases, the initial learn-**ing rates are** 1×10^{-6} for the sequence encoder, 4×10^{-5} for the graph encoder, and 8×10^{-6} for [t](#page-9-16)he sequence decoder. We use Adam [\(Kingma and](#page-9-16) [Ba,](#page-9-16) [2015\)](#page-9-16) as optimizer with $\beta_1 = 0.9, \beta_2 = 0.999$, and a clipping threshold of 0.1.

Figure 5: The impact of graph complexity on model performance.

4.5 Main Results **336**

Table [2](#page-5-0) shows the results. DualGen outperforms **337** all other methods on all three metrics. Compared **338** to the state-of-the-art model [\(Bai et al.,](#page-8-4) [2022\)](#page-8-4), it **339** achieves a 1.8-point improvement in BLEU, 2.3 **340** points in Meteor, and 0.8 points in chrF++ on **341** AMR2.0 dataset. Similarly, on AMR3.0, DualGen **342** achieves a 2.6-point increase in BLEU, 2.8 points **343** in Meteor, and 1.1 points in chrF++. **344**

Models utilizing s2s PLMs consistently outper- **345** form un-pretrained g2s models. This suggests that **346** pretraining on large corpora significantly enhances **347** model performance, confirming the validity of our **348** choice to employ PLM-based methods. **349**

Utilizing silver data leads to better performance **350** than methods not incorporating such augmenta- **351** tion. This highlights the effectiveness of our use of **352** model-generated silver data. **353**

Compared with [Ribeiro et al.](#page-9-4) [\(2021a\)](#page-9-4), which **354** shares the same architecture and method as Dual- **355** Gen without graph encoders, DualGen consistently **356** achieves superior performance. This underscores **357** the effectiveness of incorporating a graph encoder **358** in AMR-to-text generation. Further details of abla- **359** tion studies can be found in Appendix [A.](#page-10-11) **360**

4.6 Impact of Graph Complexity 361

To determine the robustness of DualGen across **362** varying levels of graph complexity and its effective- **363** ness in processing graph structure, we investigate **364** how graph complexity affects the performance of **365** g2s models, s2s models, and DualGen. We choose **366** [Guo et al.](#page-8-6) [\(2019\)](#page-8-6) and [Ribeiro et al.](#page-9-4) [\(2021a\)](#page-9-4)^{[3](#page-4-1)} as the 367 representative g2s and s2s models, respectively. **368**

A higher edge-to-node ratio suggests a more **369**

 $2R$ ibeiro et al. [\(2021a\)](#page-9-4) uses the original Bart which shares the same architecture and training method as DualGen without graph encoders, with only minor vocabulary differences.

³We use the model in [Ribeiro et al.](#page-9-4) [\(2021a\)](#page-9-4) without silver data pretraining, which is the original Bart model. It shares architecture and method with DualGen without graph encoder.

Dataset	Model	Silver Data	BLEU	Meteor	$chrF++$
	Guo et al. (2019) [†]	θ	27.6	33.1^{\ddagger}	57.3
	Song et al. (2020) [†]	Ω	34.2	38.0	68.4^{\ddagger}
	Ribeiro et al. (2021a) (Bart _{large})	θ	43.5	42.9	73.9^{\ddagger}
	Ribeiro et al. (2021a) (Bart _{large})	200k	44.7	43.7	\sim
	Bevilacqua et al. (2021) (Bart _{large})	200k	45.9	41.8	74.2
AMR2.0	Ribeiro et al. $(2021b)$ (T5 _{base})	Ω	44.0	41.9^{\ddagger}	71.2
	Ribeiro et al. $(2021b)$ $(T5large)$	θ	46.6	42.8^{\ddagger}	72.9
	Bai et al. $(2022)(\text{Bart}_{\text{base}})$	200k	46.6	41.4	74.6
	Bai et al. $(2022)(\text{Bart}_{\text{large}})$	200k	49.8	42.6	76.2
	DualGen (Bartlarge)	Ω	47.9	43.3	74.6
	DualGen (Bartlarge)	200k	51.6	44.9	77.0
	Song et al. (2020) [†]	Ω	37.9^{\ddagger}	39.4^{\ddagger}	70.8^{\ddagger}
AMR3.0	Bevilacqua et al. (2021) (Bart _{large})	200k	46.5	41.7	73.9
	Ribeiro et al. $(2021b)$ $(T5_{base})$	Ω	44.1	42.8^{\ddagger}	73.4
	Ribeiro et al. (2021b) $(T5large)$	Ω	48.0	44.0^{\ddagger}	73.2
	Bai et al. $(2022)(\text{Bart}_{\text{base}})$	200k	45.9	40.8	73.8
	Bai et al. (2022)(Bartlarge)	200k	49.2	42.3	76.1
	DualGen (Bartlarge)	Ω	49.5	43.9	75.7
	DualGen (Bartlarge)	200k	51.8	45.1	77.2

Table 2: Results of AMR-to-text generation for the AMR2.0 and AMR3.0 test sets. Models marked with † are g2s models. We calculate results marked with \ddagger as they are not reported in the original paper. The Silver Data column indicates how many data entries are used for pretraining. The best results within each dataset are denoted in bold.

 complex graph with intricate node relationships. We use this ratio to measure graph complexity and conduct regression analysis to examine its connec- tion with model performance, measured by the BLEU score. A steeper regression slope indicates better graph processing ability. A higher regression line indicates superior overall performance.

 Figure [5](#page-4-2) presents the regression results. From the regression slopes, we infer that g2s has the best ability to process graph, and DualGencomes next, performing better than s2s, showcasing the usefulness of the additional graph encoder.

 Regarding language skills measured by inter- cepts, s2s and DualGen perform similarly, surpass- ing g2s. This confirms the dual encoder-decoder architecture maintains comparable language skills to PLM-based s2s methods.

387 4.7 Model Failures

 To explore the shortcomings of the above three models [Guo et al.](#page-8-6) [\(2019\)](#page-8-6), [Ribeiro et al.](#page-9-4) [\(2021a\)](#page-9-4), and DualGen, we analyzed the failed cases. Entries with a BLEU score below 25 are considered failed.

392 The results are presented in Table [3.](#page-6-0) Compared **393** with g2s and s2s models, for failed instances, Du-**394** alGen exhibits fewer edges and nodes, fewer node

reentrance, and lower graph depth, indicating more **395** superficial graph structures. As the s2s model is **396** the same as DualGen without graph encoders, the **397** results imply that DualGen is less sensitive to in- **398** tricate graph architectures. This underscores the **399** efficacy of the graph encoder in processing AMR **400** graphs. 401

4.8 Human Evaluation 4.8 402

To further assess the performance of the models, we **403** conduct a human evaluation. Following previous **404** work [\(Ribeiro et al.,](#page-10-3) [2021b](#page-10-3)[,a\)](#page-9-4), we randomly select **405** 100 AMR graphs from the AMR2.0 test set. Six **406** annotators with an English background assessed **407** these samples, scoring 0 to 10 for language quality **408** and semantic similarity. Each entry was assigned **409** to three annotators to assess the performance of **410** the six tested models. Further details can be found **411** in Appendix [C.](#page-12-0) Table [4](#page-6-1) shows human evaluation **412** results. **413**

For language quality, PLM-based s2s ap- **414** proaches consistently outperform the g2s method, **415** indicating superior language proficiency. DualGen **416** achieves language quality scores comparable to **417** other PLM-based methods, affirming its similar **418** language capabilities to PLMs. 419

Model	Architecture # Failed		Edge		Node Reentrance Depth	
Guo et al. (2019)	g2s	751	19.37	18.55	1.82	3.39
Ribeiro et al. (2021a)	s2s	347		18.68 17.91	1 77	3.23
DualGen	dual encoder	260	18.22	-17.65	1.57	3.10

Table 3: Results of model failure analysis. All models are trained without silver data. # Failed indicates the number of failed cases. Edge, Node, Reentrance, and Depth indicate the average number of edges, average number of nodes, average number of reentrance nodes, and average graph depth of the failed cases, respectively.

Model	Architecture	Silver Data	quality	similarity
Song et al. (2020)	g2s		8.22	8.01
Ribeiro et al. (2021a) (Bart _{large})	s2s		9.26	8.26
Bevilacqua et al. (2021)(Bart _{large})	s2s	200k	9.11	8.35
Bai et al. (2022) (Bart _{large})	s2s	200k	9.42	8.57
DualGen $(Bartlarge)$	dual encoder	θ	9.29	8.59
DualGen (Bartlarge)	dual encoder	200k	9.38	8.98

Table 4: Results of human evaluation on the AMR2.0 test set. Our model significantly outperforms comparison methods, as indicated by T-tests with a significance level of $p < 0.05$. The best language quality scores are underlined; the best semantic similarity scores are in bold.

Model	SD.	BLEU	Meteor	$chrF++$
LLaMA	$\mathbf{\Omega}$	38.9	40.3	72.2
	200k	44 5	41.9	73.8
DualGen	$\mathbf{\Omega}$	47 9	43.3	74.6
	200k	51.6	44 9	77 Q

Table 5: Results of fine-tuned LLaMA-2-7B on the AMR2.0 dataset. SD stands for Silver Data.

 Regarding semantic similarity, DualGen without silver data pretraining achieves a higher similarity score than other un-pretrained methods. DualGen with silver data pretraining significantly outper- forms all other methods, demonstrating the benefits of the dual encoder architecture.

426 4.9 Comparison with the Most Powerful **427** PLMs

 Recently, LLMs have demonstrated impressive lan- guage generation capabilities on various NLP tasks. We evaluate the performance of LoRA[\(Hu et al.,](#page-8-13) [2021\)](#page-8-13) fine-tuned LLaMA[\(Touvron et al.,](#page-10-10) [2023\)](#page-10-10), GPT-3.5[\(OpenAI,](#page-9-17) [2021\)](#page-9-17), and GPT-4[\(OpenAI,](#page-9-11) [2023\)](#page-9-11) in AMR-to-text generation using the AMR2.0 test dataset. The results are presented in Table [5](#page-6-2) and Table [6.](#page-6-3) Further details can be found in Appendix [B.](#page-11-0)

437 LoRA fine-tuned LLaMA-2-7B model performs **438** comparably with fully fine-tuned smaller models

Model	shot	BLEU	Meteor	$chrF++$
GPT-3.5	0	6.9	25.4	49.8
GPT-3.5	3	14.6	28.6	53.4
$GPT-3.5$	8	17.7	29.9	55.1
$GPT-3.5$	10	18.4	29.9	55.5
GPT-3.5	15	18.5	30.3	56.2
GPT-4	15	30.8	36.7	64.7

Table 6: Results of few-shot prompted GPT-3.5 and GPT-4 on the AMR2.0 test set.

[Ribeiro et al.](#page-9-4) [\(2021a\)](#page-9-4), and performs worse than Du- **439** alGen. With a s2s architecture, fine-tuned LLaMA **440** cannot use complete graph structure information **441** and struggles with entity relations. **442**

Although GPTs perform exceptionally well in **443** many language-related tasks, they encounter dif- **444** ficulties in AMR-to-text generation without fine- **445** tuning. We design prompts for in-context learn- **446** ing with a maximum of 15 shots due to the token **447** limitation. GPT-4 with 15 shots outperforms all **448** other LLM settings but lags significantly behind **449** fine-tuned PLM methods. **450**

To conclude, LLMs, including GPTs and **451** LLaMA, are not proficient in AMR-to-text gen- **452** eration, with DualGen yielding significantly better **453** results after training. Exploring smaller models for **454** these specific tasks is worthwhile, as LLMs cannot **455** substitute these models. **456**

7

AMR Graph	Text
$(a / a$ gitate-01	Reference answer: the thought-strings of my mem-
:ARG0 ($s2 /$ spring-up-02	ory have been agitated from time to time - many
:ARG1 (s / scene	heroic, stirring, and tragic scenes of shining spears
: quant $(m2 / \text{many})$	and armored horses spring up in my mind.
:mod (h / heroic)	Song et al. (2020): occasionally, my mem-
: mod $(t2 / \text{tragic})$	ory has been touched by many heroic scene
:topic $(a2 / and)$	in my mind springing up in shiney spears and ar-
:op1 $(s3 / s$ pear	mored horses.
:ARG1-of $(s4 / \text{ shine-}01)$)	Ribeiro et al. (2021a): my memory strings
: $op2(h2/horse)$	of thoughts are occasionally agitated by the
:ARG1-of $(a3 / armor-01))$	stirring up of many heroic and tragic scenes of shin-
:ARG2-of $(s5 / \text{stir-02})$	ing spears and armored horses in my mind.
: location $(m3 / min$	Bai et al. (2022): many heroic and tragic scenes that
:poss i)	spring up in my mind of stirring spears and armored
: $ARG1$ (s6 / string	horses occasionally agitate the strings of thought in
:poss (m / memory	my memory.
: poss (i / i)	DualGen: occasionally, my memory's string of
: mod $(t4 / t)$	thoughts is agitated by the many stirring, heroic and
: $ARG1-of (t3 / think-01))$	tragic scenes of shining spears and armored horses
: frequency (o / occasional))	that spring up in my mind.

Table 7: Case study. The AMR graph is illustrated in its linearized format on the left side of the table. On the right, we present the reference answer from the AMR3.0 dataset alongside the model-generated answers. Problematic text is underlined.

457 4.10 Case Study

 Table [7](#page-7-0) presents a case study from the AMR2.0 test set, highlighting the superior performance of Du- alGen. It showcases sequences generated by both DualGen and the baseline g2s [\(Song et al.,](#page-10-5) [2020\)](#page-10-5) and s2s models [\(Ribeiro et al.,](#page-9-4) [2021a;](#page-9-4) [Bai et al.,](#page-8-4) [2022\)](#page-8-4), alongside the reference answer provided by the AMR2.0 dataset.

 The answer generated by [Song et al.](#page-10-5) [\(2020\)](#page-10-5) con- tains grammatical errors, such as "many heroic scene" instead of "many heroic scenes". Further- more, the phrase "in my mind springing up in shiny spears and armored horses" is unclear and ambigu- ous. These examples highlight the limited language proficiency of the g2s model.

 The s2s PLM-based methods [Ribeiro et al.](#page-9-4) [\(2021a\)](#page-9-4); [Bai et al.](#page-8-4) [\(2022\)](#page-8-4) are proficient in generat- ing grammatically correct and coherent sentences. However, [Ribeiro et al.](#page-9-4) [\(2021a\)](#page-9-4) overlooks specific entities, such as "spring up'. Both methods misin- terpret edge relationships, failing to recognize that "heroic", "tragic", and "stirring up" should be jux- taposed. Furthermore, [Bai et al.](#page-8-4) [\(2022\)](#page-8-4) mistakenly employ "stirring" instead of "shining" to modify "spears".

Our model, DualGen, is free of grammatical **482** errors, generates high-quality sentences, and accu- **483** rately represents all node entities and edge relations. **484** This demonstrates that our PLM-based model pos- **485** sesses strong language skills and simultaneously **486** excels in managing graph structures. **487**

5 Conclusion **⁴⁸⁸**

We explore a dual encoder-decoder architecture **489** model for the AMR-to-text generation task. This **490** model comprises a graph encoder, a sequence **491** encoder, and a sequence decoder. Our model's **492** architecture is specially designed to be compati- **493** ble with Transformer encoder-decoder architecture, **494** and all three primary components, including the **495** graph encoder, can be initialized by PLMs such **496** [a](#page-9-18)s BART [\(Lewis et al.,](#page-9-2) [2020\)](#page-9-2), GPT2 [\(Radford](#page-9-18) **497** [et al.,](#page-9-18) [2019\)](#page-9-18), and T5 [\(Raffel et al.,](#page-9-3) [2020\)](#page-9-3). This dual **498** encoder-decoder architecture enhances the model's **499** capability to process graph structure information **500** while maintaining language proficiency on par with 501 PLMs. Our model surpasses the current state-of- **502** the-art methods across multiple benchmarks for the **503** AMR-to-text task. **504**

⁵⁰⁵ 6 Limitations

 [F](#page-9-12)or the datasets, we only use AMR2.0 [\(Knight](#page-9-12) [et al.,](#page-9-12) [2017\)](#page-9-12) and AMR3.0 [\(Knight et al.,](#page-9-13) [2016\)](#page-9-13) as golden AMR-text datasets. Although some prior works [\(Bai et al.,](#page-8-4) [2022\)](#page-8-4) use three addi- tional datasets: The Little Prince (TLP), the [B](https://amr.isi.edu/index.html)io datasets from [https://amr.isi.edu/index.](https://amr.isi.edu/index.html) [html](https://amr.isi.edu/index.html), and the New3 dataset (part of AMR3.0 but not AMR2.0), we omit them from our analysis as their size is relatively small and they are used for out-of-distribution evaluations in previous studies, which is not the focus of our paper.

 For the experiments, we only test our dual encoder-decoder method based on the BART- large[\(Lewis et al.,](#page-9-2) [2020\)](#page-9-2) pretrained language model. We choose BART because it is suitable for genera- tion tasks and has been frequently used in previous **522** studies.

 For Section [4.9](#page-6-4) where we use LLaMA[\(Touvron](#page-10-10) [et al.,](#page-10-10) [2023\)](#page-10-10) for comparison, we only tested the performance of the LoRA-finetuned model. We do not test the performance of fully-finetuned LLaMA.

⁵²⁷ 7 Ethical Statement

 We anticipate no ethics-related concerns in our re- search. All datasets and models used are open- source, and we will release our code publicly to facilitate reproducibility.

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A Ablation study **⁷⁹²**

To further demonstrate the capabilities of each com- **793** ponent within DualGen, we conducted an ablation **794** study. This involved examining the performance of **795** different model variations: **796**

- DualGen w/o SE: DualGen without the se- **797** quence encoder; **798**
- DualGen w/o GE: DualGen without the graph **799** encoder; **800**
- DualGen w/o GP: DualGen with the graph **801** encoder trained from scratch. **802**
- DualGen w/o SE w/o GP: DualGen without **803** the sequence encoder, with the graph encoder 804 trained from scratch. 805

We use GP to indicate graph pretraining, SE to 806 indicate sequence encoders, and GE to indicate **807** graph encoders. The outcomes for the above four **808** model variants are presented in Table [8.](#page-11-1) **809**

DualGen w/o SE w/o GP and DualGen w/o **810** GP exhibit notably poor performance. This is be- **811** cause the AMR datasets are insufficient for training, **812** given their limited size compared to the enormous **813** size of the graph encoders. The training subsets 814 of the AMR2.0 and AMR3.0 datasets comprise **815** 36k and 56k entries, respectively. In contrast, the **816** graph encoders contain 152M trainable parameters, **817** akin in size to the Bart large encoders. In compar- **818** ison, the full DualGen model encompasses 560M **819** parameters, while the previously best-performing **820** g2s model [\(Song et al.,](#page-10-5) [2020\)](#page-10-5) comprises a total of **821** 62M parameters. Consequently, when fine-tuned **822** on the AMR datasets, DualGen w/o SE w/o GP and **823** DualGen w/o GP scarcely acquire meaningful in- **824** formation, consistently yielding a low BLEU score. **825** This underscores the efficacy of our approach in **826** "pretraining" the graph encoder in a specialized **827**

Table 8: Results of ablation study. We calculate results marked with \ddagger as they are not reported in the original paper. The Silver Data column indicates the total number of data entries used for pretraining. The best results within each dataset are denoted in bold.

828 manner, initializing the GNN using Transformer **829** encoder parameters.

 DualGen w/o SE displays significantly lower performance compared to DualGen w/o GE and the full DualGenmodel. With only graph encoders, DualGen w/o SE encounters challenges in AMR-to- text generation. This is because the graph encoder is designed not to retain all information, particu- larly entity details of the nodes. Instead, it priori- tizes structural information and facilitates informa- tion exchange between two nodes connected by an **839** edge.

 DualGen w/o GE performs similarly to the find- ings of [Ribeiro et al.](#page-9-4) [\(2021a\)](#page-9-4) without pretraining on silver data, aligning with our expected outcomes. Leveraging the strength of pretrained Transformer- based language models, the variant DualGen w/o GE notably outperforms the variant DualGen w/o **846** SE.

 The full DualGen model significantly surpasses DualGen w/o SE and DualGen w/o GE without individual encoders, highlighting the importance of incorporating both sequence and graph encoders for enhanced performance.

⁸⁵² B Large language models experiment **⁸⁵³** settings

 For LLaMA, we fine-tune the LLaMA-2-7B model using the code offered by Meta Research [i](https://github.com/facebookresearch/llama-recipes)n [https://github.com/facebookresearch/](https://github.com/facebookresearch/llama-recipes) [llama-recipes](https://github.com/facebookresearch/llama-recipes). We employ Fully Sharded Data Parallel (FSDP) and Parameter-Efficient

parameter	value
temperature	0.01
top p	1.0
n	
frequency penalty	0.0
max tokens	2048

Table 9: The settings of GPT-3.5 and GPT-4.

Fine-Tuning (PEFT) to fine-tune the model, where 859 we choose LoRA [\(Hu et al.,](#page-8-13) [2021\)](#page-8-13) as the PEFT 860 method. We set the learning rate to 1×10^{-4} , and 861 trained 10 epochs. 862

For the experiment on GPTs, we use the 863 [O](https://platform.openai.com/docs/api-reference)penAI ChatCompletion API [https://platform.](https://platform.openai.com/docs/api-reference) **864** [openai.com/docs/api-reference](https://platform.openai.com/docs/api-reference) provided by **865** OpenAI, with the settings shown in table [9.](#page-11-2) **866**

We use the following system prompt to instruct 867 the model: **868**

System:

For few-shot prompting, we use the format illus- **870**

869

872

871 trated in the following example:

```
User:
" " "
(p / possible-01~e.1
  :ARG1 (m / make-05~e.2
    :ARG0 (c / company :wiki
     ,→ "Hallmark_Cards"
       :name (n / name :op1
       \rightarrow "Hallmark"~e.0))
    :ARG1 (f / fortune~e.4
       :source~e.6 (g / guy~e.8
         : mod (t / this~e.7)))))
"''"Assistant:
Hallmark could make a fortune off
\rightarrow of this guy.
```
 We evaluate GPT-3.5 using the entire AMR2.0 test set; for GPT-4, we assess its performance by randomly selecting and testing 400 entries from the AMR2.0 test set.

877 C Human evaluation settings

 For human evaluation, we use the test set of AMR2.0. We filter out sentences shorter than 30 characters to eliminate meaningless entries like "2004-10-09". Following this, we randomly pick 100 entries and assign them IDs from 1 to 100.

 Six volunteer annotators with an English educa- tion background carry out the annotation process. Three annotate entries 1 to 50, while the other three annotate entries 51 to 100.

887 **Each entry i contains a reference text** T_i from **888** the AMR2.0 dataset and:

- 889 **the generated output** P_i^1 of [Song et al.](#page-10-5) [\(2020\)](#page-10-5);
- 890 the generated output P_i^2 of [Ribeiro et al.](#page-9-4) **891** [\(2021a\)](#page-9-4);
- 892 the generated output P_i^3 of [Bevilacqua et al.](#page-8-3) **893** [\(2021\)](#page-8-3);
- \bullet the generated output P_i^4 of [Bai et al.](#page-8-4) [\(2022\)](#page-8-4);
- 895 **the generated output** P_i^5 of DualGen without **896** silver data pretraining;
- 897 **the generated output** P_i^6 of DualGen with sil-**898** ver data pretraining.

For each assigned entry *i*, the annotator assigns 899 scores q_i^1, \dots, q_i^6 to rate the quality of sentence **900** P_i^1, \cdots, P_i^6 and s_i^1, \cdots, s_i^6 to measure the similar- 901 ity in meaning between T_i and P_i^1, \dots, P_i^6 . The **902** scores $q_i^1, \dots, q_i^6, s_i^1, \dots, s_i^6$ are integers ranging **903** from 0 to 10 (inclusive). The rating criteria are **904** outlined in Table [10.](#page-13-0) **905**

Table 10: Rating criteria for human evaluation.